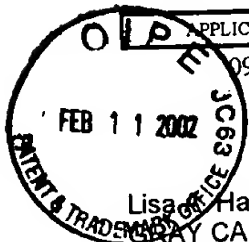




UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,091	10/17/2001	Akiko Kumagai	CIT1320-1

Lisa M. Haile, J.D., Ph.D.
GRAYCARY WARE & FREIDENRICH LLP
Suite 1100
4365 Executive Drive
San Diego, CA 92121-2123

PATENT DOCKETING

NOV 20 2001

GRAYCARY/T.ELLIS

CONFIRMATION NO. 6755

FORMALITIES LETTER



OC000000007066956

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/13/2002 SMINASS1 00000062 09982091

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	18.00 OP
03 FC:202	294.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$354.
 - \$18 for 2 total claims over 20.
 - \$336 for 8 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 789.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Amended #5

PATENT
ATTORNEY DOCKET NO.: CIT1320-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kumagai and Dunphy Art Unit: 1632
Application No.: 09/982,091 Examiner: Unassigned
Filed: October 17, 2001
Title: CLASPIN PROTEINS AND METHODS OF USE THEREOF

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed November 14, 2001,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (2 pages);
2. An executed Declaration For Patent Application (6 pages);
3. An executed Power of Attorney by Assignee (2 pages);
4. Preliminary Amendment, including Substitute Formal Drawings (Figure 2A and Figure 2B) (4 pages);
5. Statement Under 37 C.F.R. § 1.821(f) and (g) (1 page);
6. Verified Statement Under 37 C.F.R. § 1.821(f) (1 page);
7. A paper copy of the Sequence Listing (51 pages);
8. Sequence Listing in Computer Readable form (3.5" Disk);

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, January 14, 2002 , in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231.	
<u>Cecilia Tobin</u> (Name of Person Mailing Paper)	
<u>Cecilia Tobin</u> (Signature)	<u>January 14, 2002</u> (Date)

Applicants: Kumagai and Dunphy
Application No.: 09/982,091
Filed: October 17, 2001
Page 2

PAT.
ATTORNEY DOCKET NO.: CIT13

9. Check No. 498824 in the amount of \$747.00; and
- 10.. Return Receipt Postcard.

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee is calculated as follows:

For	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	22	20	2	x \$18	x \$09	\$ 18.00
Independent Claims	10	3	7	x \$84	x \$42	\$ 294.00
Multiple Claims						
Basic Filing Fee				\$280	\$140	\$.00
				\$740	\$370	\$ 370.00
					TOTAL FEE	\$ 682.00

Enclosed is Check No. 498824 in the total amount of \$747.00; which consists of \$370.00 for the basic filing fee, \$18.00 for two (2) additional dependent claims; \$294.00 for seven (7) additional independent claims; and \$65.00 surcharge fee for missing parts of the application. The